

NEWS RELEASE

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For Immediate Release

IIAC to Establish Canadian Investment Industry Hall of Fame

Seeks nominations for living and posthumous nominees

TORONTO, Tuesday, April 23, 2013 – The Investment Industry Association of Canada (IIAC) announced today the establishment of the IIAC Investment Industry Hall of Fame to honour excellence, integrity and leadership in Canada’s investment industry.

“The investment industry has a significant impact on individual Canadians and corporate Canada through its contributions to wealth creation and capital formation,” said Ian Russell, IIAC President and CEO. “Our industry today employs almost 40,000 Canadians and manages \$272 billion in RRSP investments for more than six million Canadians. It also raised \$32 billion in equity capital last year alone to finance corporations and, in turn, deliver more products and services to Canadians.

“Formal recognition of excellence in the Canadian investment industry is long overdue,” said Russell. “We are proud to establish the IIAC Investment Industry Hall of Fame to honour an elite group of individuals whose contributions to the investment industry and Canada has become legend. These individuals are synonymous with excellence, innovation and integrity in our industry.”

A maximum of four living and four posthumous candidates will be inducted into the IIAC Investment Industry Hall of Fame annually. Nominations for the inaugural 2013 induction will be accepted up to, and including, Friday, May 17, 2013.

A Selection Committee comprised of distinguished Canadians who work – or have worked – in politics, the law, academia, business and the investment industry has been assembled. Members of the IIAC Investment Industry Hall of Fame Selection Committee include:

THE HONOURABLE GARY FILMON, SELECTION COMMITTEE CHAIR

Gary Filmon – Board Chair, Exchange Income Corporation and former Premier of Manitoba
Winnipeg, MB

CHRIS COLLINGWOOD

Chris Collingwood – Chair and CEO, Baine Johnston Corporation
St. John’s, NL

COLIN DODDS

Colin Dodds – President, Saint Mary’s University

Halifax, NS

MARGARET FRANKLIN, CFA

Margaret Franklin – President, Marret Private Wealth and former Global Chair, CFA Institute
Toronto, ON

JEAN MARTEL

Jean Martel – Partner, Lavery, de Billy LLP
Montreal, QC

LOIS MITCHELL

Lois Mitchell – Senior Partner, Rainmaker Global Business Development
Calgary, AB

To request complete biographies for members of the Selection Committee, please click [here](#).

This year's successful nominees will be inducted at a VIP dinner ceremony on Thursday, October 3, 2013 following the IIAC's Annual Conference in Toronto. Broadcaster and author Rex Murphy will serve as the Master of Ceremonies for the induction ceremony. Inductees will be further honoured with a formal display at TMX Group, Toronto.

Criteria – Industry

The nominee must have demonstrated investment industry **leadership skills**. For example:

- ✓ Held executive positions
- ✓ Recognized as an influencer (awards, appointments, etc.)
- ✓ Devised innovative solutions in financing and trading

Individuals who, during their professional lives, made an **outstanding contribution** to building and shaping Canada's investment industry by:

- ✓ Creating independent franchises to serve the investing public and corporate/government issuers
- ✓ Participating in board/committee responsibilities relative to the investment industry
- ✓ Designing and implementing capital markets infrastructure for trading, clearing and settlement
- ✓ Advocating for sound public policy and effective regulation of firms, markets and industry participants
- ✓ Positively impacting Canadian investors
- ✓ Serving as a lifelong supporter of – or ambassador for – the investment industry.

Criteria – Leadership, Ethics and Social Responsibility



The nominee has positively **influenced others** by:

- ✓ Holding leadership positions in his/her community
- ✓ Enriching his/her community by volunteering his/her time

The nominee embraces and demonstrates **strong values** through:

- ✓ A lifetime commitment to integrity, strong ethics and social responsibility

Nomination Process

Those interested may nominate one candidate who meets the above criteria by emailing PublicAffairs_AffairesPubliques@iiac.ca with the following information.

Living Nominee:

- Name of nominee;
- Current title (or if retired, previous title);
- Name of firm (or if retired, previous firm);
- In less than 500 words, please outline why the nominee deserves induction (and include the nominee's contributions to Canada's investment industry, awards/medals, honorary degrees, philanthropic contributions, etc.);
- Your name, current/previous title, current/previous employer, email address and phone number; and
- Your relationship to the nominee.

Posthumous Nominee:

- Name of nominee;
- Career-defining title(s);
- Career-defining firm(s)/organization(s);
- In 500 words or less , please outline why the nominee deserves induction (and include the nominee's contributions to Canada's investment industry, awards/medals, honorary degrees, philanthropic contributions, etc.);
- Your name, current/previous title, current/previous employer, email address and phone number; and
- Your relationship to the nominee.

Please note: incomplete nominations will not be considered.

Nomination and Selection Timeline

Nomination deadline	Friday, May 17, 2013
Vetting of nominees	May 2013



Inductee notification	June 2013
Inductee announcement	Late June 2013
Induction ceremony	Evening of the IIAC's Annual Conference on October 3, 2013

The IIAC – Representing Canada's Investment Professionals

The Investment Industry Association of Canada (IIAC) is the national association representing the investment industry's position on securities regulation, public policy and industry issues on behalf of our 172 IIROC-regulated investment dealer Member firms in the Canadian securities industry. These dealer firms are the key intermediaries in Canadian capital markets, accounting for the vast majority of financial advisory services, securities trading and underwriting in public and private markets for governments and corporations. The IIAC provides leadership for the Canadian securities industry with a commitment to a vibrant, prosperous investment industry driven by strong and efficient capital markets.

For more information, please visit www.iiac.ca.

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