



Annie Sinigagliese
Managing Director

As Managing Director, Annie Sinigagliese provides representation to the Quebec Member firms and liaises with securities regulators (including the Autorité des Marchés Financiers and the Investment Industry Regulatory Organization of Canada) and the Quebec government.

Annie possesses extensive knowledge of the investment industry. Before joining the IIAC, she held positions of Senior Manager at National Bank Correspondent Network, Chief Financial Officer for an IIROC and a FINRA regulated broker-dealer, Chief Compliance Officer of an U.S. SEC regulated Investment Advisor, Derivatives trading investigator, and Finance and Compliance auditor for the TMX group. Furthermore, she held auditor positions at Ernst & Young and Arthur Andersen, specializing in investment firm audits.

Annie holds the designations of Chartered Professional Accountant (CPA), Chartered Accountant (CA), Financial Management Advisor (FMA) and Fellow of the Canadian Securities Institute (FCSI). She has been registered with the IIROC, the FINRA and the NASDAQ. She has been a participating member of the IIAC Compliance Committee, the IIAC Regional Committee and the IIROC Compliance Sub-Committee.