



2016 IIAC COMPLIANCE & OPERATIONS SYMPOSIUM

MARCH 9, 2016

Location: The Toronto Board of Trade, 77 Adelaide Street West, Toronto

- 8:00 a.m. **Registration and Breakfast**
Sponsored by Fidelity Clearing Canada ULC
- 8:30 a.m. **Opening Remarks** – Ian Russell, President & CEO, IIAC
- 8:45 a.m. **Session One: Cyber Testing: “What could possibly go wrong? Why all firms need a tested cyber response plan”**
Introduction: Susan Copland, Managing Director, IIAC
Speakers: Randy Ambrosie, President & CEO, 3Macs
 Andrew Chester, President, Juno Risk Solutions Inc.
- 10:00 a.m. **Morning Break**
Sponsored by Fidelity Clearing Canada ULC
- 10:15 a.m. **Session Two: FATCA/CRS: “The Future of FATCA and the Common Reporting Standard”**
Introduction: Andrea Taylor, Managing Director, IIAC
Speaker: Jillian Nicolson, Partner, EY
- 11:00 a.m. **Session Three: “Canada’s move to T+2: Why, When and How”**
Moderator: Jack Rando, Managing Director, IIAC
Speakers: Keith Evans, Executive Director, Canadian Capital Markets Association
 Gary Teelucksingh, Partner, Capco
 Andrew Ledbury, Project Executive, IBM Securities Industry Services
- 11:45 a.m. **Networking Luncheon**
Sponsored by Portfolio Aid
- 12:45 p.m. **Session Four: “Blockchain Technology Explained”**
Introduction: Sam Webster, President & CEO, Portfolio Aid
Speaker: Matthew Spoke, Project Leader, Rubix by Deloitte
- 1:45 p.m. **Session Five: “The Thornier Points of Point of Sale”**
Moderator: Michelle Alexander, Vice President & Corporate Secretary, IIAC
Panelists: Donna Bristow, Vice President, Business Management & Operations, Broadridge
 Danielle Tétrault, Assistant Vice-President, Compliance Investigations, Investors Group Financial Services Inc.
 Michael Holder, Senior Counsel, Wealth Management, Legal, Corporate & Compliance Group, BMO Financial Group



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- 2:30 p.m. **Afternoon Break**
Sponsored by Portfolio Aid
- 2:45 p.m. **Session Six: CRM2: “Will there be Money in Motion?”**
Moderator: Naomi Solomon, Managing Director, IIAC
Panelists: Susan Silma, Co-Founder & Partner, CRM2 Navigator
Mike Tate, Chief Analyst, Business Process & Initiatives Business
Solutions Group, NBCN Inc.
Dan Bowering, Chief Compliance Officer & Director, Compliance,
Richardson GMP
John Webster, President & CCO, Queensbury Securities Inc.
- 3:45 p.m. **Closing Remarks** – Jack Rando, Managing Director, IIAC
- 4:00 p.m. **Networking Reception**
Sponsored by Broadridge
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