

## IIROC's Request for Comments on Strategic Issues

The Investment Industry Regulatory Organization of Canada (IIROC) seeks industry input to assist with its strategic planning process. Specifically, it is reviewing how it can best fulfil its public interest regulatory mandate in light of the significant and unprecedented change Canada's capital markets have experienced in recent years.

IIROC is inviting responses to the following questions:

1. What are the important trends in the industry and regulatory environment that could impact IIROC and its public interest mandate? How will these trends impact IIROC and its mandate?
2. What value does IIROC create and what benefits does it deliver?
3. How should IIROC's role evolve over time?

Ian Russell, IIAC President and CEO, as well as former IIAC Chairman of the Board, Sylvain Perreault, and Board member Mario Frankovich, met on formal occasions with IIROC's consultant on the planning exercise to provide a detailed perspective on IIROC strategic priorities in a climate of significant Member and industry change. Messrs. Perreault and Frankovich are familiar with IIROC, its regulatory mandate and strategic priorities, having served as Chair of the Quebec and Ontario District Councils, respectively.

We encourage you to review IIROC's consultation document and to provide input to the strategic exercise. For more information, please click [here](#).