



Haywood Securities Inc. is a 100-percent employee-owned investment dealer with approximately 280 employees and Canadian offices in Vancouver, Calgary and Toronto. Since its inception in 1981, Haywood Securities has valued its independence and its entrepreneurial spirit. We look for these two important character traits to be mirrored in the ventures we back and the investors we serve. We foster long-term relationships with the companies we support through our continued financings in capital markets and our ongoing advisory services. Our goal is not only to ensure the success of the venture but also to build enduring value for investors.

Haywood is currently recruiting for a **Senior Trade Supervisor** to join its Vancouver team, reporting to the Chief Compliance Officer. The ideal candidate will be able to demonstrate their commitment to providing exceptional customer/client service, attention to detail and a willingness to take on new responsibilities and challenges as they arise.

Responsibilities include:

- General management and oversight of the Firm's trade desk compliance (i.e. UMIR Compliance);
- Oversight of the Firm's trading infrastructures such as the use of trading platforms and data vendors;
- Front line contact for trade and sales staff regarding trade related inquiries or problems;
- Identification of trading related business issues and initiating the appropriate actions in order to best address those issues;
- Liaise with outside vendors to rectify system and/or business related issues;
- Support the CCO in any trade desk initiatives or projects;
- Various other projects and duties as required.

Requirements:

- Minimum of 5 years industry experience in Trade Desk and/or Trade Supervisory related capacities;
- Working knowledge of the Fidessa trade system as asset;
- Canadian Securities Course, Conduct and Practices Handbook, Trader Training Course (Partners Directors Officers course is an asset);
- Proficiencies: UMIR; Securities Act trade and audit trail related requirements; Operation and functionalities of vendor trade workstation(s) and Dataphile Desktop; Word, Excel;
- Must be able to work in a team environment and have strong and effective communication skills;
- Excellent organizational/time-management skills and ability to exercise sound judgment during time sensitive issues.

If your background and experience is well suited to this role please submit your resume and cover letter to careers@haywood.com quoting position title in the subject line.

We would like to thank all candidates for their interest in this position however only those candidates selected for an interview will be contacted.