

The IIAC Congratulates Ms. Sarah P. Bradley on Her Appointment as Ombudsman and CEO of OBSI

Toronto, ON, August 5, 2015 – The Investment Industry Association of Canada (IIAC) congratulates Ms. Sarah P. Bradley on her appointment as Ombudsman and CEO of OBSI—The Ombudsman for Banking Services and Investments.

In response to today’s announcement, IIAC President and CEO Ian Russell provided the following reaction:

Ms. Bradley is a highly respected regulator and well experienced in securities regulation, public policy development, business law and governance, business fiduciary obligations and commercial law. Ms. Bradley served most recently as the Chair and CEO of the Nova Scotia Securities Commission (NSSC), is a former Vice-Chair of the Canadian Securities Administrators, and has been a representative on the Canadian Joint Forum of Financial Regulators. She is also an Associate Professor at the Schulich School of Law at Dalhousie University.

This extensive and experienced background brings the needed leadership to guide the OBSI through a crucial period of challenging regulatory change, both within the existing regulatory framework and the imminent Capital Markets Regulatory Authority, the CMRA.

Sarah P. Bradley understands the importance of building an effective Ombudsman for the investment industry to engender confidence and market participation among individual investors, and for a committed and supportive industry. The IIAC and its 148 Member firms are delighted with the appointment and look forward to working closely with Ms. Bradley as she takes on her responsibilities in the fall.

The IIAC – Representing Canada’s Investment Professionals

The Investment Industry Association of Canada (IIAC) is the national association representing the investment industry’s position on securities regulation, public policy and industry issues on behalf of our [148 IIROC-regulated investment dealer member firms](#) in the Canadian securities industry. These dealer firms are the key intermediaries in Canadian capital markets, accounting for the vast majority of financial advisory services, securities trading and underwriting in public and private markets for governments and corporations. The IIAC provides leadership for the Canadian securities industry with a commitment to a vibrant, prosperous investment industry driven by strong and efficient capital markets.

For more information, please visit www.iiac.ca.

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