



Experience the
FLEXIBILITY and
FOCUS of Penson



Penson Financial Services Canada Inc. (PFSC) is a technology focused provider of securities services outsourcing, offering flexible customized solutions to many types of financial institutions globally.

As part of the Penson Worldwide group of companies, which has operations in Canada, the US, the UK, Australia and Asia, PFSC plays an important role as a trade execution, clearing, custody and technology provider for numerous types of financial intermediaries. We support a wide range of business models including full service retail brokers, institutional firms, online brokers, ATS's, DMA clients and portfolio managers.

Fully customizable offerings make us the flexible choice in securities services outsourcing

Flexibility is the key to our philosophy and to our clients' success in today's market. Our specialization in outsourcing allows our clients to focus on what is most important to them, namely, their core business. Our business model is built around operational efficiency, which allows us to provide economically attractive and service focused solutions to our clients. Our differentiating factors include:

- Our focus on financial services outsourcing
- We tailor our services to support the way our clients do business
- Our reputation for being a leader in the services we provide
- Our technology platform is specifically designed to support a flexible correspondent model

STATE OF THE ART TECHNOLOGY

At PFSC, we are driven by our desire to create value for our clients and to enhance their competitive position. We take the time to identify and assess our correspondents' business technology requirements. We will collaborate with our clients to integrate systems into their environment as well as to customize and build innovative technology solutions to ensure optimization of business processes.

A recognized leader in providing correspondent specific technology, our offering include:

- A web-based account opening and management system
 - A system designed to provide online functionality for allocating trades to client accounts
 - An easy to use web portal that provides our correspondents' clients with online portfolio information, research tools and messages
 - A comprehensive broker desktop solutions that provides an intuitive and powerful working environment which includes functionalities for account reports, money line reports, receipt of third party research and order management tools
 - A real-time, straight through processing platform with direct access into the back-office books and records
 - An electronic document solution which quickly, efficiently and securely provides critical business documents, data and reports to correspondents and their clients
 - An electronic fixed income trading platform offering accessibility and dependability for correspondents looking to access liquidity
- An online Foreign Exchange trading platform

Canada's #1 Independent Clearing Firm*



Call our Sales department at 1-877-273-7486 or visit us at www.penson.ca.

Flexible, Responsive, Adaptable.
Make Penson part of your business strategy.

*Ranking determined by number of correspondents