

LOGO

Transfer Authorization for Registered Investments (RRSP, LIRA, LRSP, RRIF, LRIF, LIF, RLIF, RLSP, PRIF, TFSA)

- This form can be used for transferring the registered plans listed above except (1) RRIF to RRSP transfers, (2) RRIF or RRSP to TFSA transfers, (3) TFSA to RRIF or RRSP transfers, (4) transfers due to death and (5) transfers due to marital breakdowns.
Data entered on this form may be scanned and stored electronically. Please print neatly to ensure completeness, accuracy and machine-readability.

A: Client Identification
Account/Policy Holder Last Name, First Name, Initial(s), Social Insurance Number, Address, Home Telephone Number, City, Province, Postal Code, Business Telephone Number

B: Receiving Institution Information
Receiving Institution Name, Contact Name, Address, Telephone Number, City, Province, Postal Code, Fax Number

Group Plan Number (if applicable), Client Account/Policy Number
Insert clearing and settlement information (E.g., CDS CUID, DTCC Participant #)
Firm Information, Subsidiary Information, Affiliate Information, Other

For use by Mutual Fund Brokers/Dealers only
Dealer Name, Dealer Number, Dealer Account Number, Agent Name, Agent Number, Business Telephone Number, Business Fax Number

Registered Type: RRSP, RRIF, LIRA, Spousal RRSP, Spousal RRIF, LIF, LRSP, LRIF, RLIF, RLSP, PRIF, TFSA
Investment Instructions table with columns: Investment Name, Symbol, %/\$ Amount

Locked-In Confirmation
Authorized Signature, Name, Date

C: Client Direction to Relinquishing Institution
Relinquishing Institution Name, Group Plan Number (if applicable), Address, Client Account/Policy Number, City, Province, Postal Code

Transfer: (check one box only for asset transfer instructions and an additional box if asset list is attached)
All in kind (as is), All in cash*, Cash balance only as at date of transfer by Relinquishing Institution, All assets*, but mixed in cash and in kind; see list below or check here if list attached
*Please refer to statement in bold in Client Authorization section below.
Table with columns: Investment Amount, Symbol and/or Certificate Number or Policy Number, Investment Description

D: Client Authorization
I hereby request the transfer of my account and its investments as described above.
*WHERE I HAVE REQUESTED A TRANSFER IN CASH, I AUTHORIZE THE LIQUIDATION OF ALL OR PART OF MY INVESTMENTS AND AGREE TO PAY ANY APPLICABLE FEES, CHARGES OR ADJUSTMENTS.
Signature of Account Holder, Date, Signature of Irrevocable Beneficiary/Formal Spouse (if applicable), Date

E: For Use By Relinquishing Institution Only
Registered Type: RRSP, LIRA, LRSP, RRIF, Qualified, Non-qualified, PRIF, RLIF, RLSP, TFSA, LRIF, LIF: Federal LIF, Old LIF, New LIF
Spousal Plan: No, Yes, Last Name, First Name, Initial, Social Insurance Number
The default is "unisex;" if sex-distinct, check here
Current year's investment earnings to date: \$
If spouse waiver/consent form attached, check here
Locked-In: No, Yes, Last Name, First Name, Initial, Social Insurance Number
Contact Name, Telephone Number, Fax Number
Authorized Signature, Date (DD/MM/YY)