

Trade Review Analyst (12-Month Contract), Compliance – Vancouver – Job # 3725

Summary

Through its principal subsidiaries, Canaccord Genuity Group Inc. (the “Company”) is a leading independent, full-service financial services firm, with operations in two principal segments of the securities industry: wealth management and capital markets.

Since its establishment in 1950, the Company has been driven by an unwavering commitment to building lasting client relationships. We achieve this by generating value for our individual, institutional and corporate clients through comprehensive investment solutions, brokerage services and investment banking services.

The Company has offices in 10 countries worldwide, including Wealth Management offices located in Canada, the UK, Guernsey, Jersey, the Isle of Man and Australia. Canaccord Genuity, the international capital markets division, operates in North America, Europe, Asia, Australia and the Middle East. To us there are no foreign markets.™

Our Canadian operation, Canaccord Genuity Corp., is currently looking for a knowledgeable team player to join our Compliance department as a Trade Review Analyst. Business hours for this role is 6:30 am – 2:30 pm.

Responsibilities

- Review all securities transactions to ensure that trades conform to regulations of IIROC and IIAC before being processed;
- Address & follow up on any trade discrepancies in timely manner and liaise with appropriate department for correction;
- Responsible for tracking trade issues;
- Advise of any compliance issues discovered;
- Perform additional compliance duties and projects as assigned.

Skills & Qualifications

- A minimum of 2 years’ experience in the securities industry, with a familiarity of IIROC regulations;
- An understanding of portfolio management and the construction of portfolios;
- Completion or willingness to complete the Canadian Securities Course;
- Knowledge of risk rate securities is an asset;
- Familiarity of the Universal Market Integrity rules is an asset;
- Excellent written and verbal communication skills;
- Excellent organizational and time management skills;
- Ability to work effectively and meet tight deadlines in a fast-paced environment;
- Excellent attention to detail;
- Ability to work independently and also as part of a team;
- Experience with Dataphile beneficial; knowledge of Maximizer an asset.

Canaccord Genuity Corp. welcomes and encourages applications from all qualified individuals including persons with disabilities. We will provide reasonable accommodations upon request for candidates taking part in all aspects of the recruitment and selection cycle.

Qualified applicants are invited to submit a resume and covering letter including salary expectations. All applications will be held in strict confidence.

In order to be considered for employment at Canaccord Genuity, candidates selected for interviews will be required to show proof of citizenship, permanent residence or eligibility to work in Canada with no restrictions.

We wish to thank all candidates for their interest but only those applicants selected for an interview will be contacted. No phone calls please. Thank you for your understanding.