

**Settlements Manager, Securities
Toronto/Vancouver - #5427**

Summary

Through its principal subsidiaries, Canaccord Genuity Group Inc. (the "Company") is a leading independent, full-service financial services firm, with operations in two principal segments of the securities industry: wealth management and capital markets.

Since its establishment in 1950, the Company has been driven by an unwavering commitment to building lasting client relationships. We achieve this by generating value for our individual, institutional and corporate clients through comprehensive investment solutions, brokerage services and investment banking services.

The Company has offices in 10 countries worldwide, including Wealth Management offices located in Canada, the UK, Guernsey, Jersey, the Isle of Man and Australia. Canaccord Genuity, the international capital markets division, operates in Canada, the US, the UK, France, Ireland, Hong Kong, China, Australia and Dubai. To us there are no foreign markets.™

Our Canadian operation, Canaccord Genuity Corp., is currently looking for a knowledgeable and motivated leader to lead our Securities Settlements team. The successful candidate will have the ability to prioritize and manage a complex workload.

Responsibilities

- Oversight and management of the Canadian, US, and International Equity and Fixed Income Settlements at Canaccord Genuity ;
- Assuming a lead internal role CDS Post Trade Modernization implementation and the industry T+1 initiative
- Annual review and update of Business Impact Analysis and Business Resumption Plan;
- Biannual review of ICFR narratives and assistance with Internal Audit's Departmental Controls review;
- Assisting Equity Capital Markets/Debt Capital Markets as with Settlement logistics for Canaccord led deals in CDS or DTC;
- Represent Canaccord Genuity on industry committees;
- Build strong relationships with your peers within Canaccord and the industry to share ideas and knowledge on topics that impact our business;
- Work closely with internal & regulatory auditors as required;
- Promote growth & development of Settlements team members to enable superior service to our internal and external clients;
- Drive efficiency initiatives, effecting change as appropriate;
- Complete staff performance reviews;
- Perform special projects as assigned.

Skills & Qualifications

- Minimum of 5+ years managing a key Financial Services Operations business unit;

- Extensive hands-on experience with CDS and DTC procedures and rules, including risk and collateral management functions;
- Understanding of Buy-in principles in Canada and the US, including Reg SHO;
- Experience with settlements in UK, Europe, and Australia an asset;
- Understanding the principles of National Instrument 24-101 & IIROC CDS TRAX DP Trade Match Comparison are assets;
- Strong commitment to professional and client service excellence;
- Familiarity with and understanding of interdependencies with other Operational teams an asset;
- Possess the ability to think strategically and be adaptable to change;
- Excellent analytical and problem-solving skills;
- Ability to meet deadlines and work under pressure while maintaining a keen attention to detail;
- Excellent interpersonal and leadership skills;
- Superior verbal and written communication skills;
- Strong analytical skills to drive efficiencies;
- Advanced MS Office skills (Excel, Word, Outlook);
- Knowledge of Broadridge Dataphile, CDS, DTC and ARROW required.

Canaccord Genuity Corp. welcomes and encourages applications from all qualified individuals including persons with disabilities. We will provide reasonable accommodations upon request for candidates taking part in all aspects of the recruitment and selection cycle.

Qualified applicants are invited to submit a resume and covering letter including salary expectations. All applications will be held in strict confidence. To apply, please [Click Here](#). *We recommend that all internal applicants advise their direct manager/supervisor about their interest in other job opportunities prior to sending in their job application.*

In order to be considered for employment at Canaccord Genuity, candidates selected for interviews will be required to show proof of citizenship, permanent residence or eligibility to work in Canada with no restrictions.

We wish to thank all candidates for their interest but only those applicants selected for an interview will be contacted. No phone calls please. Thank you for your understanding.