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Call for 2017 IIAC Investment Industry Hall of Fame Nominations

Toronto, ON, February 2, 2017 – The Investment Industry Association of Canada (IIAC) seeks nominations for the 2017 class of <u>IIAC Investment Industry Hall of Fame</u> Inductees. The deadline to submit nominations is Friday, May 5, 2017 (5:00 p.m. ET).

The *IIAC Investment Industry Hall of Fame* honours excellence, integrity and leadership in Canada's investment industry.

"We are reaching out to executives in the financial sector to put forth names of outstanding individuals who have demonstrated vision and leadership in building successful careers, contributed to the vitality of Canada's investment industry and capital markets, and given back to their communities," said Ian Russell, IIAC President and CEO.

A maximum of four living and four posthumous candidates are inducted into the *IIAC Investment Industry Hall of Fame* annually. The Inductees are chosen by members of the IIAC Hall of Fame Selection Committee—an independent Committee—comprised of distinguished Canadians who work, or have worked, in the investment industry, business, politics, law or academia.

The 2017 Inductees will be recognized and celebrated at a Gala Dinner on Thursday, October 26, 2017 in Toronto.

Additional information on the eligibility and selection criteria and the nomination process is available below.

Criteria for Inductees

Industry Impact

- The individual demonstrated investment industry leadership skills (e.g. has held executive positions; is recognized as an influencer; and/or has devised innovative solutions in financing and trading).
- The individual made an outstanding contribution to building and shaping Canada's investment industry, by:
 - Creating independent franchises to serve the investing public; and/or
 - Participating on investment industry boards and committees; and/or

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- Designing and implementing capital markets infrastructure for trading, clearing and settlement; and/or
- Advocating for sound public policy and effective regulation of firms, markets and industry participants; and/or
- Positively impacting Canadian investors; and/or
- Serving as a lifelong supporter of—or ambassador for—the investment industry.

Leadership, Ethics and Social Responsibility

- The individual positively influenced others by:
 - Holding leadership positions in his/her community
 - Enriching his/her community by volunteering his/her time
 - The individual embraced and demonstrated strong values through:
 - A lifetime commitment to integrity, strong ethics and social responsibility

Nomination Process

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You can nominate **one** candidate who meets the above criteria by emailing the following information to <u>PublicAffairs AffairesPubliques@iiac.ca</u>:

Living Nomination

- Name of nominee;
- Current title [or if retired, previous title(s)];
- Name of firm [or if retired, previous firm(s)];
- Following the criteria defined above, please outline in 500 to 1,000 words why the nominee deserves induction (and include the nominee's contributions to Canada's investment industry, awards/medals, honourary degrees, philanthropic contributions, etc.);
- Your name, current/previous title, current/previous employer, email address and phone number; and
- Your relationship to the nominee.

Posthumous Nomination

- Name of nominee;
- Career-defining title(s);
- Career-defining firm(s)/organization(s);
- Following the criteria outlined above, please outline in 500 to 1,000 words why the nominee deserves induction (and include the nominee's contributions to Canada's investment industry, awards/medals, honourary degrees, philanthropic contributions, etc.);
- Your name, current/previous title, current/previous employer, email address and phone number; and
- Your relationship to the nominee.

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Please note:

Incomplete nominations will not be considered. Current members of the IIAC Board of Directors are ineligible for induction.

Nomination and Selection Timeline

Nomination deadline:	Friday, May 5, 2017
Vetting of nominees:	June 2017
Inductee notification:	June 2017
Inductee announcement:	June 2017
Induction ceremony:	Thursday, October 26, 2017

Information on the 2016 IIAC Investment Industry Hall of Fame Inductees is available here.

About the IIAC

The Investment Industry Association of Canada (IIAC) is the national association representing the investment industry's position on securities regulation, public policy and industry issues on behalf of our 132 IIROC-regulated investment dealer Member firms in the Canadian securities industry. These dealer firms are the key intermediaries in Canadian capital markets, accounting for the vast majority of financial advisory services, securities trading and underwriting in public and private markets for governments and corporations. The IIAC provides leadership for the Canadian securities industry with a commitment to a vibrant, prosperous investment industry driven by strong and efficient capital markets. For more information, please visit <u>www.iiac.ca</u>.

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