



JOB TITLE: Corporate Branch Manager
DEPARTMENT: Compliance, Worldsource Wealth Management Inc. (“WFM/WSI”)
REPORTS TO: Vice President, Dealer Compliance
LOCATION: Markham, ON

Worldsource Wealth Management Inc. requires all new hires to be fully vaccinated against COVID-19 at least 14 days before the start date (subject only to any approved accommodation).

JOB STATEMENT:

The Corporate Branch Manager is responsible for providing supervisory functions to various producing field branch managers and advisors. The successful candidate must be highly detailed, organized and accurate and have the ability to work under pressure and meet deadlines. In addition, the candidate must work without supervision, exercise initiative and use independent judgment in the performance of assigned tasks.

ESSENTIAL FUNCTIONS:

- Handling Know Your Client Update Reviews for suitability and sign-off (specifically for producing Branch Managers that do not have an ABM);
- Managing Limited Authorization Forms and New Plan Application Approvals;
- Handling Trade Reviews for the producing Branch Managers and for sub-branches linked to head office;
- Training of new Branch Managers, as required;
- Performing on-site visits of all Producing Branch Managers and registered sub-branches, yearly; and
- Attending all head office meetings as required.

QUALIFICATIONS:

- Post-secondary education
- Successful completion of the Canadian Securities Course (CSC) and Branch Manager’s Course (BMC)
- Completion or working towards Exempt Market Dealer course
- Ability to be immediately registered with the Regulators
- Minimum 5 years related experience
- Excellent working knowledge of Microsoft Word and Excel
- Organized, accurate and detailed
- Excellent oral and written communication skills;
- Ability to work independently and use independent judgment

COMPENSATION:

Commensurate with experience

If you are interested in applying for this position, please forward a cover letter and resume in confidence to hr@worldsourcewealth.com.

Worldsource is committed to accessibility in employment and to ensuring equal access to employment opportunities for candidates, including persons with disabilities. In compliance with AODA, Worldsource will endeavour to provide reasonable accommodation to persons with disabilities in the recruitment process upon request. If you are selected for an interview and you require accommodation due to disability during the recruitment process, please notify the hiring manager upon scheduling your interview.

We thank all applicants for their interest but only those selected for an interview will be contacted.