



2019 IIAC COMPLIANCE & OPERATIONS SYMPOSIUM

APRIL 3, 2019

Location: Vantage Venues, 150 King Street West, 16th Floor
(Garden Suite), Toronto

8:15 a.m. *Registration and Breakfast*

8:50 a.m. **Opening Remarks** Jack Rando, IIAC

9:00 a.m. **Session One: Leveraging New Technologies to Assist with Compliance and Operations Functions**

Moderator: Jack Rando, IIAC

Panelists: Naresh Tejpal, CIBC Capital Markets
Kuno Tucker, Virtu ITG Canada Corp. | MATCHNow
Gary A Teelucksingh, Capco
Nigel Fonseca, Alithya

9:50 a.m. **Session Two: Cyber Readiness – Where are your Vulnerabilities?**

Moderator: Susan Copland, IIAC

Panelists: Suzanne Lasrado, IIROC
Catherine Drennan, IIROC

10:35 a.m. *Morning Break* *Courtesy of Canadian Western Trust*

10:55 a.m. **Session Three: Industry Perspectives on IIROC's Debt Market Reporting & Surveillance System**

Moderator: Todd Evans, IIAC

Panelists: Fred Smith, BMO Capital Markets
Vanessa Gardiner, Scotiabank Global Banking and Markets
Marc Poles, IIROC

11:45 a.m. **Session Four: Liquid Alts: The New Retail Frontier**

Moderator: Brian Driscoll, Aligned Capital Partners

Panelists: Michael A Burns, McMillan LLP
Tim Elliott, Connor, Clark & Lunn Funds Inc.
Keith Black, CAIA Association

12:30 p.m. *Lunch* *Courtesy of National Bank Independent Network*



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