



INVESTMENT INDUSTRY ASSOCIATION OF CANADA  
ASSOCIATION CANADIENNE DU COMMERCE DES VALEURS MOBILIÈRES

TUESDAY, OCTOBER 9, 2018

## CDIC PROPOSED AMENDMENTS

IIAC response to request for comment on CDIC proposed amendments to Joint and Trust Account Disclosure By-law

[READ MORE](#)

### CSA REQUEST FOR COMMENT ON PROPOSED AMENDMENTS TO MUTUAL FUND SALES PRACTICES

IIAC Forming Working Group to Respond

[READ MORE](#)

### CYBERSECURITY

The Financial Services Information Sharing and Analysis Center's monthly highlights on cybersecurity topics and emerging threats to the securities industry globally

[READ MORE](#)

## UPCOMING IIAC EVENTS

[SEE ALL IIAC EVENTS](#)

### 2018 IIAC FinTech Summit

October 11, Montreal

**SOLD OUT** - Join us on October 11, 2018 in Montreal to hear from FinTech leaders who will share their insights on how Member firms can harness FinTech to trim costs, streamline operations and offer better value propositions to their clients. Learn from legal, regulatory and technical experts on how to navigate this new terrain. Please [email](#) Pauline Flores if you have any questions or click below for more information.

FinTech brochure available now online.

**Event will be held in French.**

[LEARN MORE](#)

### IIAC/SIFMA Breakfast Event

October 19, Toronto

Join the IIAC and SIFMA's Compliance & Legal Society on October 19, 2018 in Toronto to hear from leading Canadian and U.S. experts on key regulatory issues affecting the investment industry on both sides of the border. Attendees will hear a number of panelists including D. Grant Vingoe, Vice-Chair of the Ontario Securities Commission who will discuss the Client Focused Reforms.

Note, registration is complimentary for IIAC members and SIFMA Compliance & Legal Society members. IIAC members may register by emailing [rsvp@iiac.ca](mailto:rsvp@iiac.ca) with the subject line: Register me - October 19, 2018. For more information and to view the latest agenda, click below.

[LEARN MORE](#)

### IIAC Top Under 40 Award Luncheon

October 25, Toronto

The *IIAC Top Under 40 Award* recognizes and celebrates the new generation of highly motivated and talented young professionals whose drive, dedication, personal and professional qualities and accomplishments have brought distinction to the investment/financial industry.

This year's recipient will be announced and celebrated at the *IIAC Top Under 40 Award Luncheon* on October 25, 2018 in Toronto. Shannon Walker, Founder and President, WhistleBlower Security Inc., will be our keynote speaker. The 2018 Award recipient will also be recognized later that evening at the *Investment Industry Hall of Fame Gala Dinner and Induction Ceremony*.

[REGISTER NOW](#)

### The Investment Industry Hall of Fame Gala Dinner and Induction Ceremony

October 25, Toronto

**SOLD OUT** - The *Investment Industry Hall of Fame* honours excellence, integrity and leadership in Canada's investment industry. The 2018 Inductees will be enshrined at a Gala Dinner on Thursday, October 25, 2018 at the Delta Toronto hotel. Broadcaster and author Rex Murphy will serve as the Master of Ceremonies for the Induction Ceremony.

To learn about the extraordinary careers and enduring contributions of the 2018 *Investment Industry Hall of Fame* Inductees, click below.

[LEARN MORE](#)

### Take Our Kids to Work Day™

November 14, Toronto

Take Our Kids to Work™ day usually falls on the first Wednesday of November every year. This year it will be on Wednesday, November 14, 2018. Grade 9 students are hosted at workplaces across the country. The IIAC's Toronto office hosts one-hour workshops, centering on educating students about Canada's capital markets and the securities industry and the many career opportunities in the sector. Students may attend either a morning workshop from 10 - 11 a.m. or an afternoon workshop that will run from 2 - 3 p.m. The workshops are open to any Grade 9 student related to an employee of an IIAC Member dealer firm. Space is limited!

For more information on Take Our Kids to Work™ day click [here](#).

[REGISTER NOW](#)

### IIAC Cyber Education Series: Incident Response Planning

The best approach to cybersecurity involves not only prevention, but planning for an incident, and having a response team in place, guided by legal and communications experts. On June 21, 2018, Miller Thomson LLP and FleishmanHillard HighRoad discussed, "Incident Response Planning".

This is the second webinar of the IIAC Cyber Education Series. It is now ready for viewing, please click [here](#).

To view our first webinar, "Ransomware, BEC and Cyberjacking - The New Front of the Cyber War: Defense Strategies for Companies Large and Small" presented by Larry Keating, President and CEO, NPC DataGuard please click [here](#).

[LEARN MORE](#)

## IIAC IN THE NEWS

[SEE MORE](#)

[Politico](#) [Banks score win on data in new trade deal](#) [Advisor.ca](#) [CRA targets RRSP, TFSA abuses in long-awaited tax document](#)

[Advisor.ca](#) [CRA targets RRSP, TFSA abuses in long-awaited tax document](#)

[Investment Executive](#) [IIAC congratulates Top Under 40 nominees](#)

[Investment Executive](#) [IIAC requests more time to implement CDIC's proposed changes](#)

## OTHER NEWS

#### CSA

[Canadian securities regulators highlight cross-country activities for Investor Education Month and World Investor Week](#)

#### Globe and Mail

[Ontario PCs finally outline the reason for mutual fund fee opposition citing UK example](#)

#### Investment Executive

[Investors not acting on CRM2 fee disclosure reports](#)



### Featured IIAC Affiliate: PortfolioAid

A proud IIAC affiliate since 2012, PortfolioAid is Canada's leading provider of regulatory technology (RegTech) for IIROC dealer member firms. Find out how PortfolioAid's intelligent compliance solutions can help IIAC member firms

[READ MORE](#)

### IIAC's Industry Job Board:

To post a job to the IIAC's Investment Industry Job Board, email the job description, as a Word attachment or PDF, to [info@iiac.ca](mailto:info@iiac.ca). Posting on our Job Board is free of charge. You will be notified by email once the job is posted.

[VIEW POSTINGS](#)

Investment Industry Association of Canada  
100 Wellington St. West - Suite 1910  
Toronto, ON M5K1H6 - Canada  
Telephone: 416-364-2754