



INVESTMENT INDUSTRY ASSOCIATION OF CANADA
ASSOCIATION CANADIENNE DU COMMERCE DES VALEURS MOBILIÈRES

MONDAY, SEPTEMBER 10, 2018

LETTER FROM THE PRESIDENT

The Future of the Independent Dealer

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PROCEEDS OF CRIME (MONEY LAUNDERING) AND TERRORIST FINANCING PROPOSED REGULATIONS

IIAC provides comments to Department of Finance

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UPCOMING IIAC EVENTS

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IIAC Cyber Education Series: Breakfast and Learn with eSentire

September 19, Toronto

2018 IIAC FinTech Summit

October 11, Montreal

As part of the IIAC's commitment to support member firms in their ongoing efforts to remain cyber resilient, we are hosting an educational breakfast session with eSentire, a pioneer in managed cybersecurity services that detect and contain advanced threats that have bypassed all other security controls. Eldon Sprickerhoff, Chief Security Strategist and Founder at eSentire, will discuss advancements in global regulations, best practices and proactive measures to mitigate risk. The event will take place at the Stratus restaurant September 19 at 8:00 a.m. Space is limited to 30 participants – register today!

SOLD OUT - Join us on October 11, 2018 in Montreal to hear from FinTech leaders who will share their insights on how Member firms can harness FinTech to trim costs, streamline operations and offer better value propositions to their clients. Learn from legal, regulatory and technical experts on how to navigate this new terrain. Please [email](#) Pauline Flores if you have any questions or click below for more information.

Event will be held in French.

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The *Investment Industry Hall of Fame* Gala Dinner and Induction Ceremony

October 25, Toronto

IIAC Top Under 40 Award Luncheon

October 25, Toronto

The *Investment Industry Hall of Fame* honours excellence, integrity and leadership in Canada's investment industry. The 2018 Inductees will be enshrined at a Gala Dinner on Thursday, October 25, 2018 at the Delta Toronto hotel. Broadcaster and author Rex Murphy will serve as the Master of Ceremonies for the Induction Ceremony. The 2018 Inductees will be announced on June 27, 2018. Purchase your Gala Dinner Table(s)/Seat(s) today.

The *IIAC Top Under 40 Award* recognizes and celebrates the new generation of highly motivated and talented young professionals whose drive, dedication, personal and professional qualities and accomplishments have brought distinction to the investment/financial industry.

This year's recipient will be announced and celebrated at the *IIAC Top Under 40 Award* Luncheon on October 25, 2018 in Toronto. Shannon Walker, Founder and President, WhistleBlower Security Inc., will be our keynote speaker. The 2018 Award recipient will also be recognized later that evening at the *Investment Industry Hall of Fame* Gala Dinner and Induction Ceremony.

[REGISTER NOW](#)

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2018 Canada FinTech Forum

October 29-31, Montreal

Knowledge Bureau's 15th Annual Distinguished Advisor Conference (DAC)

November 11-14, Quebec City

The Canada FinTech Forum is a unique annual gathering organized by Finance Montréal whose primary objective is to identify and develop business opportunities for the global financial services and information technology industries. The Canada FinTech Forum also seeks to discover new talents, facilitate networking, examine IT trends applied to finance and promote Canada's financial technology expertise. Please note that those attending the IIAC's FinTech Summit in Montreal on October 11 will be given a code for a 10% discount on their registration fee for Finance Montréal's 2018 Canada FinTech Forum.

ESPECIALLY FOR ENTREPRENEURIAL ADVISORS WHO TRULY WANT TO DIFFERENTIATE.

18 Incredible Speakers with Cutting Edge topics to position your business strategically for the future.

Email [Pauline Flores](#) to request the IIAC Members-Only Registration Form. **Early bird pricing in effect through September 15.**

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IIAC IN THE NEWS

[SEE MORE](#)

[BNNBloomberg](#) [The future of independent dealers in Canada](#)

[The Insurance and Investment Journal](#) [Future looks bright for independent firms in challenging market](#)

[Advisor.ca](#) [How independent firms can continue to thrive](#)

[Investment Executive](#) [Future looks profitable for independent retail firms](#)

[Finance et Investissement](#) [Quel avenir pour les sociétés de courtage indépendantes ?](#)

OTHER NEWS

[CD Howe Institute](#)

[Hidden Beneficial Ownership and Control: Canada as a Pawn in the Global Game of Money Laundering \(IIAC referenced page 17\)](#)

[Globe and Mail \(subscribers\)](#)

[Watchdogs to crack down on how companies report financial results](#)

[Advisor.ca](#)

[CSA proposes new non-GAAP disclosure requirements](#)

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IIAC Affiliate Spotlight: IFDS

IFDS is the leading provider of fund administration and technology services to the world's largest financial institutions. Click below to learn more.

[VIEW POSTINGS](#)

IIAC's Industry Job Board:

To post a job to the IIAC's Investment Industry Job Board, email the job description, as a Word attachment or PDF, to [info@iiac.ca](#). Posting on our Job Board is free of charge. You will be notified by email once the job is posted.

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