



INVESTMENT INDUSTRY ASSOCIATION OF CANADA
ASSOCIATION CANADIENNE DU COMMERCE DES VALEURS MOBILIÈRES

MONDAY, SEPTEMBER 17, 2018



IIAC to review and comment by December 13th deadline

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IIAC Cyber Education Series: Breakfast and Learn with eSentire

September 19, Toronto

2018 IIAC FinTech Summit

October 11, Montreal

As part of the IIAC's commitment to support member firms in their ongoing efforts to remain cyber resilient, we are hosting an educational breakfast session with eSentire, a pioneer in managed cybersecurity services that detect and contain advanced threats that have bypassed all other security controls. Eldon Sprickerhoff, Chief Security Strategist and Founder at eSentire, will discuss advancements in global regulations, best practices and proactive measures to mitigate risk. The event will take place at the Stratus restaurant September 19 at 8:00 a.m. Space is limited to 30 participants – register today!

SOLD OUT - Join us on October 11, 2018 in Montreal to hear from FinTech leaders who will share their insights on how Member firms can harness FinTech to trim costs, streamline operations and offer better value propositions to their clients. Learn from legal, regulatory and technical experts on how to navigate this new terrain. Please [email](#) Pauline Flores if you have any questions or click below for more information.

FinTech brochure available now online.

Event will be held in French.

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The *Investment Industry Hall of Fame* Gala Dinner and Induction Ceremony

October 25, Toronto

IIAC Top Under 40 Award Luncheon

October 25, Toronto

The *Investment Industry Hall of Fame* honours excellence, integrity and leadership in Canada's investment industry. The 2018 Inductees will be enshrined at a Gala Dinner on Thursday, October 25, 2018 at the Delta Toronto hotel. Broadcaster and author Rex Murphy will serve as the Master of Ceremonies for the Induction Ceremony. The 2018 Inductees will be announced on June 27, 2018. Purchase your Gala Dinner Table(s)/Seat(s) today.

The *IIAC Top Under 40 Award* recognizes and celebrates the new generation of highly motivated and talented young professionals whose drive, dedication, personal and professional qualities and accomplishments have brought distinction to the investment/financial industry.

This year's recipient will be announced and celebrated at the *IIAC Top Under 40 Award* Luncheon on October 25, 2018 in Toronto. Shannon Walker, Founder and President, WhistleBlower Security Inc., will be our keynote speaker. The 2018 Award recipient will also be recognized later that evening at the *Investment Industry Hall of Fame* Gala Dinner and Induction Ceremony.

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[Investment Executive](#) [Proposed changes to AML regulations pose challenges for securities industry: IIAC](#)

[Insurance and Investment Journal](#) [Future looks bright for independent firms](#)



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[ICMA publishes paper on Regulatory approaches to FinTech and innovation in capital markets](#)

[Discount brokerage clients underwhelmed with mobile investing](#)

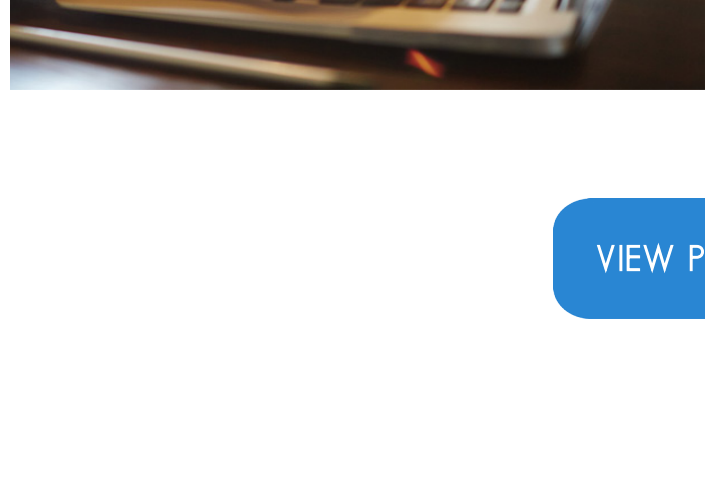
[Statement on the Ontario Securities Commission's Proposal Regarding the Mutual Funds Industry](#)



IIAC Affiliate Spotlight: Comarm Solutions

Comarm Solutions' team of compliance and regulatory experts has over 100 years of combined experience serving the investment industry in compliance, regulation, and risk management. The firm recently expanded its services to include corporate governance and CFO services to investment industry participants. To find out how Comarm Solutions can help IIAC member firms, please click below.

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IIAC's Industry Job Board:

To post a job to the IIAC's Investment Industry Job Board, email the job description, as a Word Attachment or PDF, to [info@iiac.ca](#). Posting on our Job Board is free of charge. You will be notified by email once the job is posted.

[VIEW POSTINGS](#)

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