



INVESTMENT INDUSTRY ASSOCIATION OF CANADA
ASSOCIATION CANADIENNE DU COMMERCE DES VALEURS MOBILIÈRES

MONDAY, APRIL 22, 2019

INVESTMENT EXECUTIVE OP-ED

“Lower compliance costs will allow advisors to better serve smaller investors”

[READ MORE](#)

UPCOMING IIAC EVENTS

2019 IIAC and Knowledge Bureau Breakfast Series

May 9, Toronto
The National Club, 303 Bay Street

The IIAC and Knowledge Bureau are proud to introduce the **Vantage Breakfast Series** for Thought Leaders in Wealth Management. Twice a year, we will bring together thought leaders in wealth management to hear first-hand perspectives on issues facing today's wealth management firms from the leading minds in Canada and internationally. The topic of the May 9 inaugural event is *Wealth Management Issues in a Global Environment*.

Enjoy networking, breakfast, and three 20-minute presentations from recognized leaders in the wealth management services sector. Attendance is limited to 70, so please be sure to purchase your ticket(s) and/or a table today.

7:30 am – Networking and Breakfast
7:55 am – Welcome and Opening Remarks
8:00 am – State of the Union – Richard Rousseau, Executive Vice-President – Head of Wealth Management, Private Client Group, Raymond James Ltd.
8:20 am – Cross-Border Realities – Dr. Dean Smith, President, Cadesky U.S. Tax Ltd.
8:40 am – Evolution and Disruption – Evelyn Jacks, President, Knowledge Bureau

[REGISTER HERE](#)

2019 FinTech Summit

May 31, Toronto
Toronto Region Board of Trade, First Canadian Place, 77 Adelaide Street West

Join us in Toronto as the IIAC hosts the 2019 IIAC FinTech Summit: *Merging the Worlds of Finance and Technology*. FinTech innovations are revolutionizing the financial services industry, driving new business models, applications, processes and products. At the same time, FinTech technologies raise novel regulatory, technical and legal issues for firms and their customers. Attendees will hear from FinTech leaders who will share their insights on how Member firms can harness FinTech to trim costs, streamline operations and offer better value propositions to their clients. They will also gain valuable insights from legal, regulatory and technical experts on how to navigate this new terrain. We are expecting a hybrid of financial services professionals, government officials, regulators, and legal and technology experts with an interest in, or focus on FinTech and its impact on the financial industry.

This year's topics to include:

- Blockchain/ Distributed Ledger Technology (DLT)
- Cryptocurrencies
- Artificial intelligence
- Communication and work flow technology
- Technology for anti-money laundering

... And, back by popular demand, the Rocket Pitches ...

Early Bird Discount applicable to those who register prior to May 1, 2019.

[REGISTER HERE](#)

Call for 2019 Investment Industry Hall of Fame Nominations

The IIAC seeks nominations for the 2019 class of *Investment Industry Hall of Fame* Inductees. **The deadline to submit nominations is Wednesday, May 15, 2019 (at 5:00 p.m. ET).**

A maximum of three living and two posthumous candidates will be inducted into the Hall of Fame in 2019. The Inductees are chosen by members of the Hall of Fame Selection Committee—an independent Committee—comprised of distinguished Canadians who work, or have worked, in the investment industry, business, politics, law or academia.

The 2019 Inductees will be recognized and celebrated at a Gala Dinner on Thursday, October 24, 2019 in Toronto.

Additional information on the eligibility and selection criteria, and the nomination process, is available [here](#).

Help us honour excellence, integrity and leadership in Canada's investment industry. **Submit your nomination by clicking below.**

[NOMINATE HERE](#)

IIAC IN THE NEWS

[SEE MORE](#)

[Wealth Professional](#) ‘Significant step’ towards job title protection

[Financial Post](#) [Ford government's plan to put 'Open for Business' stamp on capital markets raises eyebrows](#)

OTHER NEWS

[Financial Post](#)

[Responsible Investment Designations on the Rise for Advisors](#)

[ThinkAdvisor](#)

[FINRA Bond Sale Reporting Change Might Help Life Insurers](#)

[Advisor's Edge](#)

[TSX to launch regulatory sandbox](#)

KnowBe4
Human error. Conquered.

IIAC Partner Spotlight: KnowBe4

KnowBe4

To help IIAC members save on cybersecurity tools, KnowBe4 offers a 25% discount on all subscription levels. This discount is available only until **April 30, 2019**.

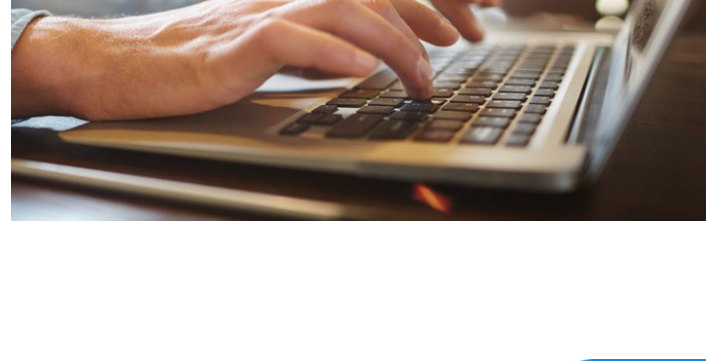
[LEARN MORE](#)

CROESUS

IIAC Affiliate Spotlight: Croesus

Croesus

Live your passion for cycling, join the Croesus Fintour, an exclusive event for wealth management professionals. Discover the event [here](#).



IIAC's Industry Job Board:

To post a job to the IIAC's Investment Industry Job Board, email the job description, as a Word attachment or PDF, to info@iiac.ca. Posting on our Job Board is a complimentary service provided to IIAC members. You will be notified by email once the job is posted.

[VIEW POSTINGS](#)

Investment Industry Association of Canada
100 Wellington St. West - Suite 1910
Toronto, ON M5K1H6 - Canada
Telephone: 416-364-2754