



INVESTMENT INDUSTRY ASSOCIATION OF CANADA
ASSOCIATION CANADIENNE DU COMMERCE DES VALEURS MOBILIÈRES

MONDAY, APRIL 8, 2019

IIAC COMPLIANCE & OPERATIONS SYMPOSIUM

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REMEMBERING CHARLES LOEWEN (1932 – 2019)

In Memoriam

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UPCOMING IIAC EVENTS

2019 IIAC and Knowledge Bureau Breakfast Series

May 9, Toronto
The National Club, 303 Bay Street

The IIAC and Knowledge Bureau are proud to introduce the **Vantage Breakfast Series** for Thought Leaders in Wealth Management. Twice a year, we will bring together thought leaders in wealth management to hear first-hand perspectives on issues facing today's wealth management firms from the leading minds in Canada and internationally. The topic of the May 9 inaugural event is *Wealth Management Issues in a Global Environment*.

Enjoy networking, breakfast, and three 20-minute presentations from recognized leaders in the wealth management services sector. Attendance is limited to 70, so please be sure to purchase your ticket(s) and/or a table today.

Early Bird pricing in effect until April 15, 2019.

7:30 am – Networking and Breakfast
7:55 am – Welcome and Opening Remarks
8:00 am – State of the Union – Richard Rousseau, Executive Vice-President – Head of Wealth Management, Private Client Group, Raymond James Ltd.
8:20 am – Cross-Border Realities – Dr. Dean Smith, President, Cadesky U.S. Tax Ltd.
8:40 am – Evolution and Disruption – Evelyn Jacks, President, Knowledge Bureau

[REGISTER HERE](#)

2019 FinTech Summit

May 31, Toronto
Region Board of Trade, First Canadian Place, 77 Adelaide Street West

Join us in Toronto as the IIAC hosts the 2019 IIAC FinTech Summit: *Merging the Worlds of Finance and Technology*. FinTech innovations are revolutionizing the financial services industry, driving new business models, applications, processes and products. At the same time, FinTech technologies raise novel regulatory, technical and legal issues for firms and their customers. Attendees will hear from FinTech leaders who will share their insights on how Member firms can harness FinTech to trim costs, streamline operations and offer better value propositions to their clients. They will also gain valuable insights from legal, regulatory and technical experts on how to navigate this new terrain. We are expecting a hybrid of financial services professionals, government officials, regulators, and legal and technology experts with an interest in, or focus on FinTech and its impact on the financial industry.

This year's topics to include:

- Blockchain/ Distributed Ledger Technology (DLT)
- Cryptocurrencies
- Artificial intelligence
- Communication and work flow technology
- Technology for anti-money laundering

... And, back by popular demand, the Rocket Pitches ...

Early Bird Discount applicable to those who register prior to May 1, 2019.

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Call for 2019 Investment Industry Hall of Fame Nominations

The IIAC seeks nominations for the 2019 class of *Investment Industry Hall of Fame* Inductees. **The deadline to submit nominations is Wednesday, May 15, 2019 (at 5:00 p.m. ET).**

A maximum of three living and two posthumous candidates will be inducted into the Hall of Fame in 2019. The Inductees are chosen by the Hall of Fame Selection Committee—an independent Committee—comprised of distinguished Canadians who work, or have worked, in the investment industry, business, politics, law or academia.

The 2019 Inductees will be recognized and celebrated at a Gala Dinner on Thursday, October 24, 2019 in Toronto.

Additional information on the eligibility and selection criteria, and the nomination process, is available [here](#).

Help us honour excellence, integrity and leadership in Canada's investment industry. **Submit your nomination by clicking below.**

[NOMINATE HERE](#)

OTHER NEWS

IIROC

[IIROC-regulated investment firms increase cybersecurity preparedness](#)

Wealth Professional

[Millennial investors a harder market for brokerage firms, says JD Power](#)

OSC

[You can view the full March 27 OSC Roundtable on Reducing Regulatory Burden here](#)



Smarten Up Institute

IIAC Partner Spotlight: Smarten Up Institute

SUI is offering IIAC members 20% off any video or digital course as a discount for their Smart,Direct™ system service. Click the button below to learn more. Materials available only in English.

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IIAC's Industry Job Board:

To post a job to the IIAC's Investment Industry Job Board, email the job description, as a Word attachment or PDF, to info@iiac.ca. Posting on our Job Board is a complimentary service provided to IIAC members. You will be notified by email once the job is posted.

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