



INVESTMENT INDUSTRY ASSOCIATION OF CANADA
ASSOCIATION CANADIENNE DU COMMERCE DES VALEURS MOBILIÈRES

MONDAY, MARCH 4, 2019

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UPCOMING IIAC EVENTS

2019 IIAC Compliance and Operations Symposium

April 3, Toronto

VENUE: Vantage Venues, 150 King Street West
Toronto (16th Floor – Garden Suite)

Join us in Toronto on April 3, 2019 for this full-day event to hear perspectives on hot-button issues impacting compliance and operations professionals within Canada's securities industry. Network with peers and industry experts throughout the day.

Panel sessions to include:

- Leveraging new technologies to assist with compliance and operations functions
- Liquid Alts: The New Retail Frontier
- Cannabis and Compliance
- Industry Perspectives on IIROC's Debt Market Reporting & Surveillance System
- Fireside chat with Maureen Jensen, Chair and Chief Executive Officer, Ontario Securities Commission
- Cyber Readiness: Where are your vulnerabilities?
- Vulnerable Clients: How Firms Can Better Protect Both Clients and Advisors

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The CAA, partnered with the IIAC, is offering an exclusive corporate membership opportunity for IIAC Members. If you're already a CAA Member don't worry, you can still upgrade your membership to receive all the exclusive benefits of a CAA Corporate Membership. Click the button below to learn more.

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