



INVESTMENT INDUSTRY ASSOCIATION OF CANADA
ASSOCIATION CANADIENNE DU COMMERCE DES VALEURS MOBILIÈRES

MONDAY, MAY 13, 2019



IIAC & KNOWLEDGE BUREAU: VANTAGE BREAKFAST SERIES

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CANADIAN SECURITIES REGULATORS OUTLINE NEXT STEPS ON THE DEVELOPMENT OF AN INTEGRATED INFORMATION SYSTEM

A single point of access for market participants

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PROPOSED AMENDMENTS FOR AT-THE-MARKET SHELF DISTRIBUTIONS

Canadian securities regulators seek comment

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ROUNDTABLE ON REDUCING REGULATORY BURDEN

IIAC Vice-President Michelle Alexander at the Ontario Securities Commission Roundtable

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IIAC INFOGRAPHIC

Quebec's capital markets and securities industry

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MARKET CLOSED MONDAY, MAY 20 - VICTORIA DAY

Early closing recommended for Friday, May 17

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UPCOMING IIAC EVENTS

2019 IIAC FinTech Summit

May 31, Toronto
Toronto Region Board of Trade, 77 Adelaide Street West

Join us in Toronto on May 31 for the 2019 IIAC FinTech Summit, *Disruption and Possibilities: Merging the Worlds of Finance and Technology*. Member firms will gain insights on how to harness FinTech to trim costs, streamline operations and offer better value propositions to their clients; learn from legal, regulatory and technical experts on how to navigate the new terrain.

IIAC members: \$250

Non-members: \$300

Click [here](#) to download a copy of the agenda.

[REGISTER HERE](#)

Notice of the 2019 IIAC Annual General Meeting

June 5, Toronto
RSVP

All IIAC members are invited to attend the IIAC's Annual General Meeting to take place on Wednesday, June 5 from 4:15 pm to 5:30 pm in downtown Toronto.

4:15 pm - 4:30 pm: Registration

4:30 pm - 4:45 pm: AGM Meeting to consider the annual report, elect and re-elect the Board of Directors, consider the audited financial statements, re-appoint the Auditor, and transact such other business as may properly come before the meeting.

4:45 pm - 5:30 pm: Reception

Please email us if you have any questions or would like to attend.

[CLICK HERE](#)

Call for 2019 *Investment Industry Hall of Fame* Nominations

Deadline May 15

A maximum of three living and two posthumous candidates will be inducted into the *Investment Industry Hall of Fame* in 2019. Inductees are chosen by an independent Committee comprised of distinguished Canadians who work, or have worked, in the investment industry, business, politics, law or academia.

The 2019 Inductees will be recognized and celebrated at a Gala Dinner on Thursday, October 24, 2019 in Toronto.

Help us honour excellence, integrity and leadership in Canada's investment industry. Submit your nomination by clicking below.

[NOMINATE HERE](#)

IIAC seeks Nominations for 2019 *IIAC Top Under 40 Award*

Deadline June 14

The *Award* recognizes and celebrates the new generation of highly motivated and talented young professionals whose drive, dedication, personal and professional qualities and accomplishments have brought distinction to the investment industry.

Any member of the public may nominate an employee of an *IIAC Member firm* or one of its divisions or subsidiaries within the financial services industry. An independent committee will approve and adjudicate nominations for the Award.

This year's recipient will be announced and celebrated as part of the *Investment Industry Hall of Fame* Gala Dinner and Induction Ceremony on October 24, 2019 in Toronto.

[NOMINATE HERE](#)

OTHER NEWS

Investment Executive

[How the OSC is approaching regulatory burden](#)

Advisor's Edge

[IIROC announces upgraded market surveillance system](#)

Wealth Professional

[Delayed retirement a fleeting fantasy for young Canadian boomers](#)



IIAC Partner Spotlight: Smarten Up Institute

IIAC members receive 15 months for the price of 12 in the first year of the SmartDirect™ system service. New customers only. IIAC members receive 20% off ANY video or digital course (materials available only in English)!

[LEARN MORE](#)



IIAC Affiliate Spotlight: Baynes & White

IIAC Member Firms can harness the power of full-scale employee and workplace Employee and Family Assistance Program (EFAP) solutions offered by Homewood Health through Baynes & White.

[LEARN MORE](#)

IIAC's Industry Job Board:

To post a job to the IIAC's Investment Industry Job Board, email the job description, as a Word attachment or PDF, to info@iiac.ca. Posting on our Job Board is a complimentary service provided to IIAC members. You will be notified by email once the job is posted.

[VIEW POSTINGS](#)

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