



Investment Compliance/Permanent/Telework or hybrid/Quebec City, Montreal, Toronto, Vancouver/Desired start date: As soon as possible

Would you love to work with the key players in the financial industry? Do you have a strong interest for the investment world, and you want to contribute to the success of impactful projects while working independently? Are you known for your sense of initiative, your professionalism, your high ethical standards and your communication skills? If so, this position is for you! The iA Investment Management (iAIM) compliance team is indeed looking for a Senior Compliance Analyst.

iAIM is the portfolio manager for iA Financial Group. It manages assets comprised of general funds of insurance companies, segregated funds, mutual funds and manages accounts valued at over 60 billion dollars.

The compliance team works with portfolio managers and management to ensure that the company's operations comply with securities legislation, internal policies and procedures, and industry practices. Your role will be to actively participate in enforcing elements of the compliance program.

More specifically, you will:

- Code the investment benchmarks of the various portfolios in Bloomberg and monitor pre-trade and day-end compliance exceedances
- Analyze, process and maintain account opening documentation (accounts opened with brokers and sub-advisors and account updates/KYC)
- Contribute to compliance projects, in collaboration with the different teams (portfolio management, trading and systems) and with management
- Oversee and monitor personal transaction requests and the code of ethics
- Complete and submit various reports to internal and regulatory authorities
- Participate in the development and monitoring of the soft dollars budget
- Participate in drafting and deploying new policies and procedures
- Help prepare analyses, notes and reports submitted to management

Your career path looks like this:

- At least five years of relevant work experience
- Knowledge of financial products and understanding of the role of the various players in the financial industry (broker, portfolio manager, investment fund manager, asset custodian)
- In-depth knowledge of securities legislation, specifically the mutual fund (81-102) and segregated fund (LD2) investment limitations
- Familiarity with Bloomberg and compliance modules (CMGR, VMGR) (asset)
- Excellent communication skills in French and English (oral and written) per the frequent interaction with internal French and English-speaking colleagues or partners across Canada
- Very comfortable with IT tools and software
- Ideally advanced knowledge of Office Suite
- Canadian Securities Institute course or other financial industry course
- Great attention to detail
- Ability to work independently
- Sense of initiative
- Ability to manage multiple priorities at the same time in a fast-paced and demanding environment

Do you wish to be the subject matter expert in compliance and would like to actively contribute to the success of your team? If so, send your resume along to eliz.begin@ia.ca! We offer many benefits including a defined benefits pension plan as well as a share purchase plan to name a few.