



2022 INDUSTRY AWARDS

- ❖ **WealthTech100 Innovation Leader**
- *FinTech Global*
- ❖ **WealthTech Service Provider of the Year (Excellence Awardee)**
- *WP Awards in partnership with WP Canada*



SECURITY RATINGS

Risk ratings & investment objectives for all asset classes



KYP & KYC SUITABILITY

Portfolio suitability monitoring and alerting



CASE MANAGEMENT

Centralized dashboard for issue tracking and remediation



T1 & T2 SUPERVISION

Alerts, exception reports, and supervision delegation



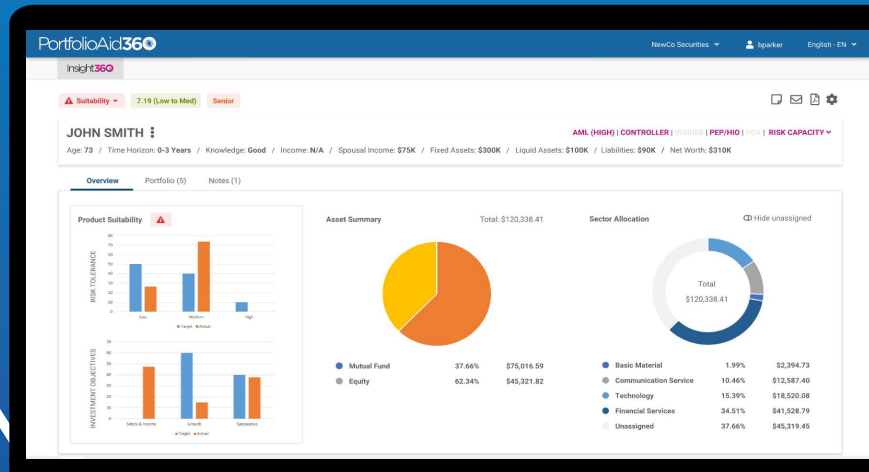
FACT SHEET POS

Fund and ETF Facts point of sale delivery



CFR READY

KYP comparison, monitoring and enhanced concentration testing



Intelligent Wealth Compliance Solutions for IIROC Dealers

PortfolioAid360 -> Central compliance management

Insight360 -> Suitability insight & modeling

Inform360 -> Fund Facts e-delivery

KYP Monitor -> Significant change monitoring

KYP Compare -> Product peer comparison



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