# Portfolio**Aid**

## 2022 INDUSTRY AWARDS

WealthTech100 Innovation Leader
- FinTech Global

### WealthTech Service Provider of the Year (Excellence Awardee)

- WP Awards in partnership with WP Canada



#### SECURITY RATINGS

Risk ratings & investment objectives for all asset classes



#### **KYP & KYC SUITABILITY**

Portfolio suitability monitoring and alerting



#### CASE MANAGEMENT

Centralized dashboard for issue tracking and remediation



#### **T1 & T2 SUPERVISION** Alerts, exception reports, and supervision delegation



FACT SHEET POS

Fund and ETF Facts point of sale delivery



#### CFR READY

KYP comparison, monitoring and enhanced concentration testing

PortfolioAid <b>360</b>	NewCo Securities 👻 💄 bparker English - EN 👻
Insight 360  Senior  JOHN SMITH  AML (Here Age 33 / Time Horizon 6-3 Years / Knowledge Goed / Income: NA / Spousal Income: \$76K / Fixed Assets: \$100K / Liguid Assets: \$100K / Lig Overview Portfole (s) Notes (t)	) CONTROLLER   INCLUSE   PEPARIO   INCL   RISK CAPACITY ~ Abilities: \$59K / / Net Worth: \$310K
Product Suttability A Sector Summary Total: 5120.38.41 Sector	Allocation C Hide unassigned
© Early 42.34% \$45,221.82	Basic Material         1.9%         52,394.73           Communication Service         10.46%         512,857.00           Transcript Services         16.3%         16.552.08           Financial Services         36.5%         64,528.79           Unassigned         27.66%         545,319.48

Intelligent Wealth Compliance Solutions for IIROC Dealers

PortfolioAid360 -> Central compliance management Insight360 -> Suitability insight & modeling Inform360 -> Fund Facts e-delivery KYP Monitor -> Significant change monitoring KYP Compare -> Product peer comparison

