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Senior Manager, Trade Surveillance Program

Purpose:

Scotiabank's Compliance Remediation & Optimization Program (CROP) is seeking a Sr. Manager - Trade Surveillance Program to join our team. We are building a leading, best-in-class Global Compliance function to: maintain customer trust, deliver efficiencies across business units, and to be trusted advisors and partners to protect the Bank's reputation and strengthen Scotiabank's overall Compliance program. CROP is a significant investment for Scotiabank that brings together transformational, operational and IT change activities facilitated by the Program Management Office (PMO) to enhance compliance functions across multiple geographies and business lines to support compliance and business personnel globally. The mandate for CROP is to design and implement required current & target state compliance capabilities to enhance the Compliance function and mitigate risk supporting the Chief Compliance and Regulatory Officer's (CCRO) vision of an integrated compliance function, supporting the business in delivering growth as well as supporting Scotiabank to deliver on its Compliance obligations in the most efficient and effective way.

Role:

Sr. Manager - Trade Surveillance Program is a key role within the CROP's Monitoring Program reporting to the Director - CROP- Global Compliance. The Senior Manager is responsible for managing day-to-day efforts including project deliverables, core components and stakeholder engagement in order to help drive the success of the Program. The incumbent will join a dynamic new team responsible for designing & developing a future state leading & robust Trade Surveillance Program in the areas of Global Banking and Markets, Wealth, International Banking and Treasury that will align with Scotiabank's overall digital strategy.

Accountabilities:

- Maintains deep knowledge and understanding of industry issues and practices, regulatory requirements and associated changes.
- Leads a team of business analysts to assist in the development of oversight techniques for monitoring solutions on the Applicable Business lines' trading activities as required by governing regulatory bodies and regulatory requirements.
- Supports the development of internal policies aligned with applicable industry regulations and reflective of rule changes from various regulatory bodies. Effect policy design determinations and decisions within the strategic framework.
- Identifies non-compliant situations and trends, and provides recommendations for remediation and solution development.
- Participate in initiatives that will deliver long-term sustainable impact by leveraging subject matter expertise.
- Engage with other internal Compliance Subject Matter Experts ("SMEs"), Business and Operations stakeholders.
- Collaborate and take direction from the Director on policy and procedure design, including product specific surveillance requirements, solution testing strategy design, reporting design processes and stakeholder validation.
- Play a leadership role within the team to ensure that deliverables are in-scope, on-time and of high quality.
- Facilitate problem solving on a day-to-day basis as required, working closely with development on implementation challenges.
- Assist team members and help with their development / desired outcomes as required.
- Demonstrate proven extensive abilities with leveraging creative thinking and problem solving skills, individual initiative, and utilizing MS Office (Word, Excel, Access, PowerPoint)

Dimensions:

- Provide subject matter expertise in the development and implementation of the Trade Surveillance Program, covering Global Banking and Markets, Wealth Management, Canadian Banking and International Banking in all Geographies Scotiabank operates
- Support the design and development of future state Trade Surveillance program (including target capabilities, operating model, vendor mix, procedures, etc.)
- Assist Agile team(s) in prioritizing work, gathering requirements, design, developing, implementing, and supporting new or existing applications moving to newly selected solutions
- Responsible for partnering with front office colleagues, SMEs and technology; ensure that all project deliverables are met while adhering to Bank's internal policies, communication with stakeholders will be open and frequent to ensure they have the appropriate level of information

Education / Experience / Other Information:

- 3 - 7 years of business experience within Compliance having specific knowledge & experience in supporting Trade Surveillance programs
- An undergraduate degree
- Driven and results oriented
- Strong strategic, analytical, problem-solving and critical thinking skills
- Excellent verbal and written communication skills
- Experience in Trade Surveillance and surveillance program life cycles
- Inquisitive mindset; eager to learn and acquire knowledge
- Deep passion in developing a leading, best-in-class Compliance function
- Experience with both business and technology implementation
- Strong task skills and ability to prioritize amongst large and complex stakeholders
- Participating in large teams and end to end delivery for the global financial institutions
- Experience with large transformation and strong knowledge of Trade Surveillance /Monitoring programs

Working Conditions

- Work in a standard office-based environment; non-standard hours are a common occurrence
- Agile work environment
- Limited travel may be required

For more information about this opportunity and to apply, please visit:

<https://career17.sapsf.com/sfcareer/jobreqcareer?jobId=58037&company=scotiabank&username=>